

The University of New Mexico
Board of Regents' Audit Committee
October 25, 2013 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Approval of Meeting Minutes from the Special Meeting on September 18, 2013 and the Quarterly Meeting on September 19, 2013
2. Internal Audit Program for UNM Hospital & SRMC (*Ella Watt, CFO, UNMH*)
3. Board of Regents Policies 1.2, 7.2 & 7.3 (*Pamina Deutsch, Director, UNM Policy Office*)

INFORMATION ITEMS

4. Advisors' Comments
5. Follow-Up Items from September 19, 2013 Meeting
6. Health Science Center Chief Compliance Officer Report (*Stuart Freedman, HSC Chief Officer*)
7. Main Campus Chief Compliance Officer Status Report (*Helen Gonzales, Chief Compliance Officer*)
8. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)
9. Status of Audit Recommendations as of October 15, 2013 (*Chien-chih Yeh, Internal Audit Manager*)

EXECUTIVE SESSION

10. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Presentation of FY13 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (*KPMG, Moss Adams, and Liz Metzger, University Controller*)
 - b. Discussion of Final Internal Audit Reports, pursuant to limited personnel matters exception at Section 10-15-1.H(2) NMSA (1978) and exception for matters subject to attorney-client privilege pertaining to threatened or pending litigation at Section 10-15-1.H(7), NMSA (1978)
 - c. Schedule of Audits in Process, pursuant to exceptions at Sections 10-15-1H(2 and 7), NMSA (1978)
 - d. Proposed FY14 Audit Workplan exceptions at Sections 10-15-H(2 and 7), NMSA (1978)
 - e. Vote to re-open the meeting
11. Certification that only those matters described in Agenda item #10 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
12. Adjournment